

Avocet Part Trading LLC

REGULATORY COMPLIANCE - NEW CUSTOMER INFORMATION SHEET

DATE _____

Registered Name of Company: (as it appears on Articles or Bylaws of the Company)	
Type: (e.g. Corporation, Partnership, LLC, Limited by Shares)	
Taxpayer Number (TIN) or or TIN of Parent Company (U.S. Entities Only)	

Address: Street (no PO Boxes)		General Telephone:	General Facsimile:
City:	Province:	Postal Code:	Country:

Primary Contact Name:	Title:	Telephone:	Email:

Ownership: Please answer as applicable for any owner of 10% or more.

Individual Owners of the Company:			
%	Name Individual & Government ID:	Principal Business (ie Banking or Airline)	Address & Telephone:

Public Company or Wholly Owned Subsidiary of Public Company:			
Company Ticker:	Company Exchange:	Parent Company Ticker:	Parent Company Exchange:

Privately Held Corporate Owners of the Company:			
%	Name of Owning Company:	Principal Business (ie Banking or Airline)	Address & Telephone: